



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q67844

Takako SUZUKI, et al.

Appln. No.: 10/084,204

Confirmation No.: 6177

Group Art Unit: 1752

Filed: February 28, 2002

Examiner: John S. Y. Chu

For: **POSITIVE PHOTORESIST COMPOSITION AND PROCESS FOR FORMING RESIST PATTERN USING SAME**

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT
U.S. Appln. No.: 10/084,204

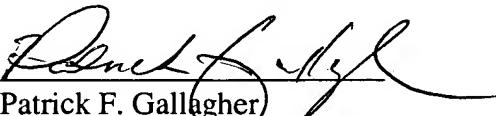
Q67844

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (Preliminary Notice of Reasons for Rejection for Japanese Patent Application No. 10-156213 dated December 13, 2001, and Final Rejection for Japanese Patent Application No. 10-156213 dated May 31, 2002), together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



Patrick F. Gallagher
Registration No. 54,109

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE
23373
CUSTOMER NUMBER

Date: September 20, 2004

Substitute for Form 1449 A & B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

OCT 20 2004

PATENT & TRADEMARK OFFICE

Sheet

1

of

1

Complete if Known

Application Number	10/084,204
Confirmation Number	6177
Filing Date	February 28, 2002
First Named Inventor	Takako SUZUKI
Art Unit	1752
Examiner Name	John S. Y. Chu
Attorney Docket Number	Q67844

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	5-19462		01-29-1993	MITSUBISHI KASEI CORP	Abstract
		JP	6-202321		07-22-1994	SUMITOMO CHEM CO LTD	Abstract
		JP	7-056333		03-03-1995	JAPAN SYNTHETIC RUBBER CO LTD	Abstract
		JP	7-159990		06-23-1995	NIPPON ZEON CO LTD	Abstract
		JP	7-175213		07-14-1995	FUJI PHOTO FILM CO LTD	Abstract
		JP	8-245461		09-24-1996	SUMITOMO CHEM CO LTD	Abstract
		JP	8-254824		10-01-1996	FUJI PHOTO FILM CO LTD	Abstract
		JP	9-073168		03-18-1997	FUJI PHOTO FILM CO LTD	Abstract
		JP	9-114093		05-02-1997	SUMITOMO CHEM CO LTD	Abstract
		JP	9-281702		10-31-1997	NIPPON ZEON CO LTD	Abstract
		JP	9-319078		12-12-1997	TOKYO OHKA KOGYO CO LTD	Abstract

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		Preliminary Notice of Reasons for Rejection for Japanese Application No. 10-156213 dated December 13, 2001	Yes
		Decision on Final Rejection for Japanese Application No. 10-156213 dated May 31, 2002	Yes

Examiner Signature		Date Considered
--------------------	--	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.